

**Notice of Allowability**

Application No.

09/702,549

Examiner

Baoquoc N To

Applicant(s)

PIOTROWSKI ET AL.

Art Unit

2162

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 07/06/2004.
2. ☒ The allowed claim(s) is/are 35,37-40,48-51,53-59 and 4246.
3. ☒ The drawings filed on 31 October 2000 are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date 07/06/2004
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_.
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_.

  
**JEAN M. CORBELLEUS**  
PRIMARY EXAMINER

### **DETAILED ACTION**

1. Claims 35, 37-46, 48-51 and 53-59 are pending in this application.

### **EXAMINER'S AMENDMENT**

2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Robert B. Berube Reg. No. 39,608 on December 09, 2004.

Please replace claim 1 with;--"1. A computer implemented system for accessing and presenting information on a graphical user interface relating to assets of an organization, the assets comprising processes and procedures for the organization, said system comprising:

A plurality of assets each stored in a single location in a database wherein each of the assets has a profile associated therewith and each of the profiles includes a plurality of hierarchical identifiers, wherein the hierarchal identifiers include a plurality of tier designation associated with particular organizational level within the organization, wherein the tier designations include: tier 0 which relates to organization policy statements, tier 1 which relates to policy documents which related to a unit within the organization, tier 2 which relates to the unit's processes and procedure, tier 3 which relates to processes and procedures for one or more submits, and tier 4 which relates to information not otherwise classifiable;

A plurality of interactive screen displays, each presentable on a user interface and accessible over a data network, at least one of the interactive screen displays including the tier designations which are user selectable therefrom;

A search and compile apparatus, which in response to a user selected tier designation from one of the from one of the interactive screen displays, performed a search of the profiles and identifies the assets which includes the selected tier designation; and

A user interface page generator which based on selections made by a system user dynamically generates one or more pages which include selected data for the identified assets, wherein the one or more pages are presentable on a user interface device and configured such that the selected data for the identified assets is further selectable by a system user and associated data for the identified assets is presentable on the user interface device, wherein the associated data includes at least a document version of the asset and any change requests associated with the asset.”—

Please cancel claim 41.

Please replace claim 46 with; --“46. A computer implemented method of accessing and presenting stored assets of an organization on a user interface, the assets comprising processes and procedures for the organization, said method comprising the steps of:

Associating a profile with each of the stored assets wherein each of the profiles includes a plurality of hierarchal identifiers, the hierarchal identifiers including a plurality of tier designations associated with particular organization levels within the organization,

Art Unit: 2162

wherein the tier designations include: tier 0 which relates to organization policy statements, tier 1 which relates to policy documents which related to a unit within the organization, tier 2 which relates to the unit's processes and procedure, tier 3 which relates to processes and procedures for one or more submits, and tier 4 which relates to information not otherwise classifiable;

Detecting a connection of a system user over a data network and presenting at least one interactive screen display which includes the tier designation which are user selectable therefrom;

Based on a selected tier designation by a system user, searching the profiles of the stored assets to identify the profiles which include the selected tier designation;

Dynamically generating one or more pages for presentation on the display which include identification information for all assets with profiles which include the selected tier designation, wherein the identification information for the assets is further selectable by the system user and associated data for the assets associated with the identified profiles is presentable;

Presenting links to document forms of the assets as apart of the associated data; and

Presenting links to any change requests associated with the assets as part of the associated data."--

A plurality of interactive screen displays, each presentable on a user interface and accessible over a data network, at least one of the interactive screen displays including

***Allowable Subject Matter***

3. Claims 35, 37-40, 42-46, 48-51 and 53-59 are allowed over prior art made of record.

The following is a statement of reasons for the indication of allowable subject matter:

As to claim 35, none of the known prior art made of record neither teach or suggest "a user interface page generator which based on selections made by a system user dynamically generated one or more pages which include selected data for the identified assets, wherein the one or more pages are presentable on a user interface device and configured such that the selected data for the identified assets is further selectable by a system user and associated data for the identified asset is presentable on the user interface device, wherein the associated data includes at least a document version of the asset and any change requests associated with the asset and a plurality of assets each stored in a single location in a database wherein each of the assets has a profile associated therewith and teach of the profiles includes a plurality of hierarchal identifiers, wherein the hierarchal identifiers include a plurality of tier designations associated with particular organizational levels within the organization, wherein the hierarchal identifiers include a plurality of tier designation associated with particular organizational level within the organization, wherein the tier designations include: tier 0

Art Unit: 2162

which relates to organization policy statements, tier 1 which relates to policy documents which related to a unit within the organization, tier 2 which relates to the unit's processes and procedure, tier 3 which relates to processes and procedures for one or more submits, and tier 4 which relates to information not otherwise classifiable" and in conjunction with "a plurality of interactive screen displays, each presentable on a user interface and accessible over a data network, least one of the interactive screen displays including the tier designations which are user selectable therefrom; a search and compile apparatus, which is response to a user selected tier designation from one of the interactive displays, perform a search of the profiles and identifies the assets which includes the selected tier designation."

Claims 37-40, 42-45 and 58 are depended on independent claims 35; therefore, they are allowed as the reason indicate above

As to claim 46, none of the known prior art made of record neither teach or suggest "dynamically generating one or more pages for presentation on the display which include identification information for all assets with profiles which include the selected tier designation, wherein the identification information for the assets is further selectable by the system user and associated data for the assets associated with the identified profiles is presentable; presenting links to document forms of the assets as apart of the associated data; presenting links to any change requests associated with the assets as part of the associated data; associating a profile with each of the stored assets wherein each of the profiles includes a plurality of hierarchal identifiers, the hierarchal identifiers including a plurality of tier designations associated with particular

Art Unit: 2162

organization levels within the organization, wherein the tier designations include: tier 0 which relates to organization policy statements, tier 1 which relates to policy documents which related to a unit within the organization, tier 2 which relates to the unit's processes and procedure, tier 3 which relates to processes and procedures for one or more submits, and tier 4 which relates to information not otherwise classifiable" and in conjunction with detecting a connection of a system user over a data network and presenting at least one interactive screen display which includes the tier designation which are user selectable thereform; based on a selected tier designation by a system user, searching the profiles of the stored assets to identify the profiles which include the selected tier designation."

Claims 48-51, 53-57 and 59 are depended on independent claim 46; therefore, they are allowed under the same reason.

### ***Conclusion***

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Roberts et al. (US. Patent No. 6,560,633 B1)	Patent date: 05/06/2003.
Ikeno (US. Patent No. 6,128,635 B1)	Patent date: 10/03/2000.
Prithviraj et al. (US. Patent No. 5,987,513)	Patent date: 11/16/1999.

### ***Contact Information***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Baoquoc N. To whose telephone number is at 571-272-

Art Unit: 2162

4041 or via e-mail BaoquocN.To@uspto.gov. The examiner can normally be reached on Monday-Friday: 8:00 AM – 4:30 PM, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene can be reached at 571-272-4107.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks  
Washington, D.C. 20231.

The fax numbers for the organization where this application or proceeding is assigned are as follow:


(703) 872-9306 [Official Communication]

Hand-delivered responses should be brought to:

Crystal Park II  
2121 Crystal Drive  
Arlington, VA 22202  
Fourth Floor (Receptionist).

Baoquoc N. To

Dec 9th, 2004



JEAN M. CORRIELUS  
PRIMARY EXAMINER